



PROGRAMME ADVISING

Rev. BSc Banking and Finance (Compliance & Corporate Governance)

ACADEMIC YEAR
2020/2021



Programme Delivery Department (PDD)

Programme Manager: Dr. Lesleann Whiteman

Email: lesleann.whiteman@open.uwi.edu

IMPORTANT INFORMATION TO GUIDE COURSE SELECTION & REGISTRATION

Kindly review this document at the start of each semester
for any adjustments to the schedule.



New Students

Students who enrolled as of Academic Year 2020/2021 must follow the prescribed course of study and sequence given for their programme.

Continuing Students

Students are required to successfully, complete all courses in Year 1 before they can proceed to undertake courses in Year 2. Please continue to follow the prescribed course of study given.

Advice For Managing Course Load

Most students carry between 1 – 3 courses per semester. **For the working adult**, we recommend that you **do not attempt more than three (3) courses** per semester and two (2) courses in summer.

Plan wisely to suit your particular situation so you may perform at your full potential. Do not jeopardize your long-term goals by being unrealistic about what you can handle.

IMPORTANT NOTE for New Students

Orientation is the first step to achieving academic and personal success at the UWI Open Campus. It supports you by assisting with your transition to the online environment. It will equip you with the needed navigational skills to function successfully online and provide pertinent information about the available services, go to persons and responsibilities as an online student. It is imperative that you attend the online sessions offered by the Programme Delivery Department (PDD) as well as the session offered at your local site office.

Disclaimer: The Programme Delivery Department (PDD), UWI Open Campus reserves the right to revise this document during the Academic Year 2020/2021. Kindly check before the start of registration and during the semester for any updates or additional information. Please be guided accordingly.

The **B.Sc. Banking and Finance (Compliance and Corporate Governance)** is a redesigned programme which offers students training and knowledge in key areas, to develop their competence to function effectively in the ever evolving global banking and finance industry.

The programme offers courses at Levels II and III, which provide knowledge of the key theories and facilitates the practical experience required to function within the Caribbean financial industry. Students will be able to pursue a range of courses covering, inter alia, financial regulation, risk management, compliance issues, portfolio management, banking law and ethics, and financial markets and institutions.

The revised programme introduces critical financial issues such as anti-money laundering, terrorist financing, fraud, and regulatory and supervisory enhancement inclusive of the Practicum experience. The programme is delivered online using the Open Campus “Learning Exchange” environment (Moodle/Learning Management System).

The **BSc Banking & Finance with (Compliance and Corporate Governance) programme** is a 90-credit undergraduate programme. The duration of the programme is 3 years full-time and five years part-time. In order to qualify for the award of the BSc Banking & Finance (Compliance and Corporate Governance) degree, students must attain the credits at the respective levels as shown in the Table below.

Awards	Level 1	Level 2	Level 3	Total Credits
Degree	30 credits	30 credits	30 credits	90 credits

BSc Banking & Finance (Compliance and Corporate Governance) programme operates under a semester system.

- Semester 1 and 2 each, have thirteen (13) weeks of instruction plus an examination period.
- Summer session has seven (7) weeks of instruction plus the examination period.



Remember to check the programme-advising document before the start of registration and during the semester for any updates or additional information.

**Pre-requisites for Matriculation into the BSc
Banking & Finance Compliance and Corporate
Governance Programme**

- **Mathematics Requirements:** For entry to the Undergraduate programmes, an approved qualification in Mathematics is required. The minimum Mathematics requirement is a pass at CSEC or the equivalent. Candidates who do not meet this requirement must successfully

complete the approved remedial Mathematics course Improving your Math Skills (IYMS1001). IYMS1001 is offered in semester 1, 2 and summer.

- **English Language Requirements:** The English Language Proficiency Test (ELPT) is used to assess whether applicants to the Undergraduate programmes possess a satisfactory level of writing and reading proficiency in English for university academic purposes. ELPT is a prerequisite for FOUN1001: English for Academic Purposes. For those persons requiring ELPT, the exam schedule is posted by the Registry on the Department's Web Page at: <http://www.open.uwi.edu/undergraduate/home>. Also, check your site office for more details. The earliest offering of ELPT August **2020**.
- **Technology Requirement:** Students will need to have access to a computer with Internet access.

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The BSc Banking & Finance (Compliance & Corporate Governance Programme) Pre-requisites for Matriculation

COURSE CODE	COURSE NAME	SEMESTER	PRE-REQUISITES & TIPS
Y E A R 1			
FOUN1001	English for Academic Purposes	1, 2, Summer	<p>ELPT – English Language Proficiency*</p> <p>With effect from Academic year 2020-2021, all newly admitted students to the University of the West Indies will be required to register for the required Foundation Course in Academic Literacies (unless otherwise exempted) as part of their Level One undergraduate degree programme. Part-time students will be required to register for this course during the first year of their registration.</p> <p>* If your Offer Letter from Admissions indicates that you are required to take the ELPT then you must first pass this Pre-requisite test before you are allowed to do FOUN1001. Students requiring ELPT, the earliest offer is: August 2020.</p> <p>TIP: If you already passed the ELPT you should register for FOUN1001 in Semester 1. To move on to Level 2 courses students must successfully pass this course.</p>
FOUN1210	Science, Medicine & Technology in Society	1, 2, Summer	None
FOUN1501	Foundation for Learning Success	1, 2	None TIP: We recommend that you take this course in semester 2
ACCT1002	Introduction to Financial Accounting	1, 2	None
FINA1001	Elements of Banking & Finance	1	None
ECON1001	Introduction to Microeconomics	1, 2	None
ECON1002	Introduction to Macroeconomics	1, 2	None
ECON1003	Mathematics for Social Sciences	1, 2	IYMS
ECON1005	Introduction to Statistics	1, 2	IYMS
ELECTIVES	SEMESTER 1, 2 & SUMMER ELECTIVES		Do note you MUST only choose ONE elective course for year 1.
ACCT1003	Cost & Management Accounting	1, 2	None
SPAN1009	Language For Business Spanish	1	None
MGMT1001	Principles of Management	1, 2, Summer	None
ECON1009	Introduction to International Trade & Foreign Business	Summer	None
SELG1000	Supporting Communities through Engagement & Volunteerism	Summer	None
Y E A R 2			
To ensure proper sequencing of courses and fulfill pre-requisite requirements for Level 3 courses, you are advised to complete the level 2 courses in the sequence given below.			
FINA2002	Quantitative Methods of Banking and Finance	1	None
ACCT2025	Fraud Examination	1, 2	None
MGMT2023	Financial Management I	1, 2	ACCT1002 and ECON1003
MGMT2064	Organizational Behaviour and Human Resource Management	1	None
FINA2005	Risk Analysis and Management	2	FINA1001 & ECON1005
FINA2015	Compliance Issues in Banking & Finance	2, Summer	None
FINA2014	Financial Regulation	Summer	None

MGMT2030	Banking Law	Summer	FINA1001 & MGMT2023
ELECTIVES	ELECTIVES For Sem 1, 2 & Summer		Do note you MUST only choose TWO elective courses for year 2.
MGMT2021	Business Law	1, Summer	None
ACCT2017	Management Accounting	1, 2	ACCT1003
FINA2003	Information Technology for Banking & Finance	1	None
FINA2004	Portfolio Management	2	ECON1005, ECON1001 & ECON1002, & MGMT2023
FINA2016	Marketing Management and Sales Strategy for Bankers	2	ECON1001, ECON1002, ACCT1002, & FINA1001
GEND2103	Gender & Development: Social & Economic Considerations	Summer	None
MGMT2007	Introduction to E-Commerce	Summer	FINA2016

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